

Application for Property and Casualty Insurance Representative Licence

For Office Use Only

Fees Paid _____
Receipt No. _____
Date of Receipt _____
Tracking No. _____

1 Applicant information (please print)

Surname	Given Name	Middle Initial
Date of Birth Y Y M M D D	Place of Birth	Social Insurance Number

2 Applicant's home address (required)

Street Address		
Mailing Address		
City/Town	Province	Postal Code
Telephone	Facsimile	

3 Applicant's business address (optional see following note)

Note: the applicant's annual filing will be mailed to his/her home address unless a business address is provided. See annual filing section at the end of this application.

Business Name		
Mailing Address		
City/Town	Province	Postal Code
Telephone	Facsimile	

4 Level of licence requested

Please indicate the level of licence you are applying for. Applicants must attach a transcript of marks or Certificate of Designation. Non-resident applicants must also attach proof of Licensing from your home jurisdiction.

LEVEL OF LICENCE REQUESTED	EDUCATIONAL REQUIREMENTS	LEVEL OF LICENCE REQUESTED	EDUCATIONAL REQUIREMENTS	LEVEL OF LICENCE REQUESTED	EDUCATIONAL REQUIREMENTS
<input type="checkbox"/> LEVEL I	Must have successfully completed: <ul style="list-style-type: none"> • Insurance Institute of Canada (IIC) courses C11 - Principles and Practices of Insurance or C-81 and C-82 - General Insurance Essentials Parts 1 and 2; OR • Insurance Brokers Association of Canada (IBAC) courses CAIB 1 or Fundamentals of Insurance; OR • non-residents who have not completed the Level I course must hold a property and casualty or general insurance licence in their home jurisdiction. 	<input type="checkbox"/> LEVEL II	Must have successfully completed: <ul style="list-style-type: none"> • IIC courses C12 - Insurance on Property; C14 - Automobile Insurance - Part I; C72 - Introduction to Risk Management and Commercial Lines; and C110 - Essentials of Loss Adjusting or C17 - Claims I; OR • IBAC CAIB 2; In addition, a Level II Representative must have one year licenced experience.	<input type="checkbox"/> LEVEL III	Must have successfully attained one of the following designations: <ul style="list-style-type: none"> • IIC Chartered Insurance Professional (CIP); or • IBAC Canadian Accredited Insurance Broker (CAIB); In addition, a Level III Representative must have two years licenced experience.

5 Professional liability and fidelity insurance requirements

Attach certificate(s) of insurance, naming the applicant as the insured, for professional liability insurance (errors and omissions) for an amount of at least \$1 million and fidelity insurance (loss resulting from fraudulent acts) for an amount of at least \$100,000.
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6 Other information

Have you been convicted of a criminal offence within the last five years or are there any outstanding Charges against you? If yes, give full particulars on a separate sheet.	Yes <input type="checkbox"/> No <input type="checkbox"/>
Have you ever held an insurance, securities, real estate or mortgage brokers licence in the province of Newfoundland and Labrador? If yes, when _____	Yes <input type="checkbox"/> No <input type="checkbox"/>
Have you ever had an insurance, securities, real estate or mortgage brokers licence refused, suspended, Or revoked? If yes, give full particulars on a separate sheet.	Yes <input type="checkbox"/> No <input type="checkbox"/>

6 Certification and Undertaking of Applicant

I, the undersigned applicant, certify that the information given by me in this application is true and complete to the best of my knowledge and belief and hereby undertake to notify the Financial Services Regulation Division of Service NL in writing of any material change.

Signature

Date

Y	Y	Y	Y	M	M	D	D
				/			/

Witness Name (Please Print)

Witness Signature

Date

Y	Y	Y	Y	M	M	D	D
				/			/

Undertaking of Sponsor - Sponsor must be an agent, broker or insurer licensed in Newfoundland and Labrador**7**

I, _____, an authorized official for _____

(*Legal Name of Sponsoring Company*) recommends the applicant as a fit and proper person to receive a licence and gives notice that the applicant is Authorized to represent the sponsor when the licence is issued.

The sponsor agrees:

- (a) To perform all responsibilities required of a sponsor by the *Insurance Adjusters, Agents and Brokers Act* and Regulations;
- (b) To ensure that the applicant, if applying for a Level I licence, will be working full-time in the financial services industry which is deemed to be a minimum of 21 hours or 3 days per week;
- (c) that the Applicant will not act as or represent or hold himself or herself out as a Property and Casualty Insurance Representative until this application is approved; and
to give written notice to the Financial Services Regulation Division of Service NL within 2 working days following termination of a sponsored licensee in accordance with the prescribed [Notice of Termination of Sponsorship](#) form.

Signature

Date

Y	Y	Y	Y	M	M	D	D
				/			/

Caution**8**

Filing of any false information or failure to disclose full information required by or on this application may result in its rejection or in disciplinary action taken against the applicant.

Annual filing requirement**9**

Once licensed, a licensee must file an Annual Filing and pay an annual fee to keep the licence in good standing. The applicant's first Annual Filing along with the filing fee will be due one year subsequent to the end of the month in which your licence became effective (e.g., for a licence with an effective date of January 15, 2009, the first Annual Filing is due by January 31, 2010, with subsequent filings due by January 31 each year thereafter).

Did you remember to attach:**10**

- [Licensing fee](#) (Cheques must be made payable to Newfoundland Exchequer Account)
- Proof of Licensing from your home jurisdiction (non-residents only)
- Certificate(s) of Professional Liability and Fidelity Insurance, naming the applicant as the insured

11 Routing Information

Please return completed form by mail to
Financial Services Regulations Division, Service NL, P. O. Box 8700, St. John's, NL Canada A1B 4J6 or by courier to:
Financial Services Regulations Division, Service NL, 2nd Floor West Block, Confederation Building, Prince Philip Parkway, St. John's, NL
or for more information Telephone: (709) 729-2595 or Fax: (709) 729-3205

PRIVACY NOTICE

The Financial Services Regulation Division collects personal information under the authority of the *Insurance Adjusters, Agents and Brokers Act*. Personal information collected by the Government of Newfoundland and Labrador is protected under the Access to Information and Protection of Privacy (ATIPP) Act. If you have any questions about the collection or use of this information please contact our office.